## John Cox

## The Berlin Wall

## The variation that brought down Kasparov



QUALITY CHESS<br>www.qualitychessbooks.com


Bibliography ..... 5
Part 1 Understanding the Berlin Wall ..... 7
Chapter 1 Positional Introduction ..... 9
Chapter 2 Typical Berlin Endings ..... 17
Pawn Endings ..... 17
Knight Endings ..... 19
Knight vs. dark-squared Bishop ..... 20
Knight vs. light-squared Bishop ..... 22
Bishop vs. Knight ..... 23
Bishops of the same Colour ..... 28
Opposite-coloured Bishops ..... 37
Rook Endings ..... 43
Dark-squared Bishops \& Knights ..... 47
Opposite-coloured Bishops \& Knights ..... 49
Two Knights vs. Bishop \& Knight ..... 51
The Two Bishops ..... 56
Rooks \& dark-squared Bishops ..... 57
Rook \& Knight vs. Rook \& Queen's Bishop ..... 59
Rook \& Knight vs. Rook \& King's Bishop ..... 62
Double-rook Endings ..... 63
Rooks \& Bishops of opposite Colours ..... 65
Endings with three Minor Pieces each ..... 70
Chapter 3 Positional Themes ..... 75
The e6 Break ..... 75
Sacrificing e5 ..... 80
Attacks with the Rook Pawns ..... 84
The 0 d $6 /$ f6 $\dagger$ Trick ..... 89
The Exchange Sacrifice ..... 90
Capturing on d 5 ..... 93
The g4 Trick ..... 95

(Chapter 3 continued)
The Classical Blockade Set-up ..... 96
Black's ... ©d 4 ..... 103
Correct and incorrect handling of Black's Queenside Pawns ..... 104
Black's ... ${ }^{\mathbf{d}} \mathrm{c}$ c6! ..... 105
Restraining g4 - forestalling it with ...h5-h4 ..... 106
Striking back with ...h5 after g4 ..... 110
Black's ...g5 Thrust ..... 112
Black's ...f6 Break ..... 119
...f5: Blockade or Counterattack ..... 123
Black's ...c4 Break: a Controversial Undoubling ..... 125
Black's ...b5 Break ..... 127
Developing Black's Rook with ...a5 ..... 128
Black's ... 0 xe 5 Trick ..... 129
Black's ... $\mathrm{B}_{\mathrm{B}}^{\mathrm{m}} \mathrm{x} 3$ ..... 130
Black's early King Activation ..... 132
Part 2 The Theory of the Berlin Wall ..... 135
Chapter 4 ... 0 e7 Systems without h3 ..... 137
Chapter 5 ...)e7 Systems with h3 ..... 155
Chapter 6 ...Oe7 Systems without an immediate ... 0 g6 ..... 177
Chapter 7 ...思d7 Systems ..... 201
Chapter 8 ...思e7 Systems ..... 229
Chapter 9 Berlin Endgame: White Alternatives and Miscellaneous Black Systems ..... 253
Chapter $10 \quad$ White Plays 4.d3 ..... 277
Chapter 11 Other White Tries ..... 291
Index of Variations ..... 319
Index of Theoretical Games ..... 328


## Positional Introduction

The heart of this book is the position which is reached after the moves $1 . e 4$ e 52.0 f 3 c 6

 Berlin Wall. Chapters 4-9 attempt to provide comprehensive analysis of this position from both sides, while Chapter 2 deals with typical endings arising, and Chapter 3 with typical middlegame themes. After $3 \ldots . \mathrm{C}_{\mathrm{f}} 6$ this sequence is usually considered White's only serious try for advantage, and Chapters 10 and 11 provide repertoire coverage only from Black's point of view of the various deviations White has between moves four and eight: with the exception of $4 . \mathrm{d} 3$ these are more common at club level than international level.

Let us go through the initial eight moves and see why this might be so.

## 

It was Morphy who first proposed that Black ought to insert 3...a6 in order to give himself the option to break the pin quickly. Basically the great man was right: the only variations of the Ruy in which Black does not benefit from having ...a6 㤙a4 thrown in are those in which the bishop is at some moment attacked on b5, the Bird (3...9d4), the Schliemann (3...f5), the

Classical (3...思c5) and the present debut, and those in which Black does not intend to fight against the formation of the $\mathrm{c} 3 / \mathrm{d} 4$ pawn centre and does not want to push the bishop towards its ideal spot on c2, the Cozio (3... C ge7) and the variously-named $3 \ldots \mathrm{~g} 6$ lines (Pillsbury? Smyslov?).


## 4.0-0

4.d3 and 4. ${ }^{\mathrm{m}} \mathrm{e}$ e 2 are respectable ways to avoid Black's main idea and are dealt with in Chapter 10 and Game 55 respectively. The former envisages either the old Steinitz plan with d3/c3 0 bd2-f1-g3 before castling or else a build-up with c3 and d4, the latter perhaps $0-0 /$ /ad $\mathrm{d} 1 /$ c3/d4 along the lines of the Worrall Attack in the normal Closed Ruy. However from a
logical standpoint $4 . \mathrm{d} 3$ should not be the most critical test：if the game had gone 3．思c4 4 f 6 4．d3 then most people would not think that White was opting to press Black particularly in the opening，while if he isn＇t going to exploit the pressure created on the e－pawn by 3． $\mathrm{S}_{\mathrm{B}}^{\mathrm{b}} \mathrm{b} 5$ to force the concessions（queenside weaknesses or surrender of central space，basically），which are typical of the main lines of the Ruy，then it＇s not clear why White put his bishop on b5 instead of c 4 at all．

4． 0 c3 is the Spanish Four Knights，which could of course have arisen by 3．0c3 0 f 6 4．${ }^{\mathbf{B}} \mathrm{b} 5$ ，and is not covered in this work：read－ ers are referred to grandmaster Mihail Marin＇s recent Beating the Open Games for（excellent） coverage．

4．d4（game 56）is the Central Attack，and is not so effective before Black is committed to ．．．d6 and can still go ．．．d5 in one，as the traditional reply shows：4．．．exd4 5．0－0 a6 6．思a4 思e7 7．e5 （after 7．Me1 b5 8．思b3 d6 White＇s tragedy is that 9． $\mathrm{Qx}_{\mathrm{xd}}$ ？？falls into the Noah＇s Ark trap with $9 . . .9 \mathrm{xd} 410$ ． $\mathrm{H}_{\mathrm{H}} \mathrm{xd} 4 \mathrm{c} 5$ and ．．．c4，so he has either to gambit a pawn for vague com－ pensation only with 9．c3，or else give up the bishop with 9．思d5）7．．． 0 e4 8． $0 x d 40-0$ 9． 0 f 5 d 5 ．

4．⿷⿱㇒⿸⿻日丿乚厶心夊心（game 57），like in the Exchange Vari－ ation（3．．．a6 4．悤xc6）is not so bad，but obvi－ ously Black would rather have played 3．．．$\searrow \mathrm{f} 6$ than 3．．．a6．

## 4．．． Oxe4 $^{2}$

Were Black to play 4．．．思e7 now，analogous to the normal Chigorin defence with ．．．a6／思a4 added，he would quickly find out the wis－ dom of Morphy＇s advice：White continues with 5． m el defending his own e－pawn and so threatening to win a pawn by 卷xc6 and xe5， thus forcing $5 \ldots \mathrm{~d} 66 . \mathrm{d} 4$ renews the threat and
forces 6．．．悤d7 if Black wants to maintain a pawn on e5，and now after 7． $\mathrm{Y}^{\mathrm{c} 3} 3$ Black finds that 7．．．0－0 loses material after 8．葸xc6 㤙xc6
 11．．．思xe4？12．©xe4 包xe4 13．0 d3 f5 14．f3宽h4 15．g3，the famous Tarrasch Trap，and so he is forced to cede central space to White with $7 \ldots$ ．．exd4，transposing to the old Steinitz defence．

4．．． $\mathrm{B}_{\mathrm{a}} \mathrm{c} 5$ ，the Classical Berlin，is another reason－ able line which is not covered in this book，but by omitting ．．．a6 Black usually telegraphs his intention to play the text．Black plays in a way akin to the Open Defence（ $5 .$. ）xe4 with the inclusion of ．．．a6／思a4）．

## 5．d4

5．${ }^{\text {en }} \mathrm{e} 1$（games $58-59$ ）is possible and is in some ways the most natural move．In the nor－ mal Open Defence this move is rubbish be－ cause 6．．． 0 c5 attacks the bishop on a4 and simply trades it off with a slight edge for Black． Here Black has to go 5．．．${ }^{2} \mathrm{~d} 6$ to gain the same tempo，which of course blocks his develop－ ment and gives White possibilities，but even so it turns out that Black＇s difficulties can be fairly easily surmounted．

5．$\frac{\mathrm{Mi}}{\mathrm{M}} \mathrm{e} 2$ is also possible and is dealt with in Game 60.

## 5．．．${ }^{4}$ d6

This move，the trademark of the Berlin Wall， was the whole point of leaving out ．．．a6．Both here and in the Open Defence proper 5．．．exd4 is frowned upon because of the hair－raising se－

 12． $0 x \mathrm{xd} 8 \dagger$ 夢xd8 13．夢xh2，so in the Open proper Black normally plays 6 ．．． b 5 to enable ．．．d5（in fact he can try to reach the same posi－ tion here by $5 \ldots . . a 6$ 6．思a 4 b5 7．悤b3 d5）．The text move however hits b5 and threatens to
consolidate Black＇s gains with ．．．e4，so White is forced to concede the bishop．

Black can also try the strange 5．．．思e76．罥e1 Qd6 7．思xc6 bxc6 8．dxe5 0b7，known in some circles as the Rio de Janeiro varia－ tion（although properly this refers to a Black plan later on）．This book does not cover this option．

## 6．兔xc6

Since 6．惫a 4 allows Black easy equality after $6 \ldots$ ．．exd 4 or $6 \ldots$ ．．．e 4 White doesn＇t seem to have much choice，but in fact 6. dxe 5 xb5 $7 .{ }^{2} 4$（or 7．c4）is possible since the knight is trapped． In either case Black has the choice between returning the piece with equality or accepting a risky pawn sacrifice：see Games 61 and 62. White actually has still another try in 6．思g5 （game 63），which again gives Black a choice between steady play with 6 ．．．思e 7 or accept－ ing the challenge and the piece sacrifice with 6．．．f6，when White probably does not have enough compensation．

## 6．．．dxc6 7．dxe5

7． 0 xe5（game 64）is utterly feeble White should obviously translate his d－pawn to the kingside to obtain a working majority there compared to Black＇s crippled one，as in the Exchange Variation，not to mention displac－ ing Black＇s king by the forthcoming queen ex－ change．

## 7．．． 0 f 5

7．．． $0^{\text {e }} 4$ is a dubious alternative virtually re－
 11．b4 悤b6 12．f3 欮d7 13．悤e3 0－0－0 14．a4 and is not covered．

## 8．${ }^{[24} \mathrm{xd} 8 \dagger$

Nothing else makes a lot of sense．8．${ }^{2} \mathrm{em} \mathrm{e} 2$ is often played by White players with Oedipus complexes，but the whole point of $7 \ldots .{ }^{\circ} \mathrm{f} 5$
（as opposed to 7．．．0e4）was to meet that with 8．．． 0 d 49.9 xd 4 欮xd4，when Black can trade the queens anyway if he wants to after 10．${ }^{\text {man }} \mathrm{d} 1$ 思g4，and obtain comfortable play （game 65）．

## 8．．．違 $x d 8$



And here we are．I hope the above preamble has convinced you that this position is critical for $3 . . .0 \mathrm{f} 6$ ，so it makes sense to take a long look at it，especially since in my opinion most texts fundamentally mis－state where Black＇s advantages lie．White＇s assets are fairly clear．

One，he is ahead in development（and also in space）．Two，if all the pieces but the kings were magically removed from the board Black would have to resign．And three，Black＇s king is stuck in the centre of the board and will almost always block at least one of his rooks from en－ tering the game along the back rank for some time to come．

These considerations suggest that White will win games in this opening in two ways：first by obtaining the initiative，perhaps by opening the centre with a pawn sacrifice，and exploiting his active pieces to force decisive gains，second－ ly by slowly and carefully exchanging pieces， advancing his majority，creating a passed pawn and winning the ending．
This impression is more or less correct and，


## Typical Berlin Endings

In this chapter I want to start by looking at the opening backwards．You can＇t learn any opening without considering the typical endings it gives rise to，and this is more true of the Berlin than most．Let＇s start at the end with pawn endings with the typical Berlin Wall pawn structure．

## Pawn Endings

There would be many good reasons to call David Bronstein back to life，but one small question I would have for him would be why he wrote，in 200 Open Games，＂If you have time，check whether the pawn ending is won． That＇s a very difficult problem，but there is a solution．＂I have a feeling I must be missing the great man＇s point．In any case according to me the pawn ending is generally hopeless for Black White creates a kingside passer， decoys the black king with it and wins on the queenside in classical Ruy Exchange style．The only thing he has to be a little careful about is to ensure there isn＇t a kingside pawn left after the decoying process，but this isn＇t hard．Here＇s Kasparov showing that even having his king well placed on d5 doesn＇t help Black．

Kasparov－Bazan
Simultaneous，Germany 1992


30．．．悤xd3？
Truly a horrible move．Black was worse of course but with the bishop he still had some chances to defend．

I think probably $30 . . \mathrm{b} 4$ was best：the bishop is in some danger of being trapped．

## 31． Bd 3

Simplest although I think 31．cxd3 does win as well，and makes quite a nice finish：31．．．a5 32．b3 a4 33．bxa4 bxa4 34．a3 c6 35．置f3 高d4
 39．置d1）37．dxc4 臺e4（37．．．臺xc4 38．g5 高d5 39．f5 茞xe5 $40 . \mathrm{ff}$ gxf6 $41 . \mathrm{gxh} 6$ is the point， a typical trick with this kingside structure．）
 and White wins，e．g．41．．．高e6 $42 . \mathrm{h} 6$ gxh6 43．gxh6 古f6 44．徳h5

31．．．c4 $\dagger$ 32．古e3 c6 33．c3 a5 34．a3 a4 35．${ }^{\text {d }} \mathbf{f} 3$

## 1－0

With this queenside structure Black can play ．．．c5 and ．．．b4 if he likes，but White just keeps tempoing his king and in the end Black has to let it in to e4，and the rest is easy．

The importance of the e4－square in these pawn endings is great．If White establishes his king there，even having dissolved his doubled pawn may not be enough to save Black．

> Janev - Marcelin

Bois Colombes 2003


## 1．．．臨d8

The game actually continued with $1 \ldots$ h5


 14．${ }^{\text {maffl }} 1$ 1－0．

But couldn＇t Black have just opposed rooks？ The answer is no．White wins quite simply after：

##  b5 6．h4 a5 7．h5

For example：

## 7．．．g6 8．h6 c4

Letting the king to d 5 is hopeless．
9．bxc4 bxc4 10．dd4 c3 11．．axc3 da d5



And so forth the white king crosses at once to the queenside．

But there is one important pawn ending which is a draw and which has turned up quite often in practice．

Korneev－Fontaine

Cap d＇Agde 2002


White has just exchanged Black＇s active rook on f3，but now he finds that he cannot win．


## ... 2 e7 Systems without h3

The various systems Black can adopt in the Berlin are recalcitrant to exact classification, since the fact there is no direct clash of the forces means that Black can play his moves in various orders. Almost always though White will start off with the simple developing 0 c3, and by far his most usual move at his next turn is the flexible semi-waiting h3. After that White's play tends to be defined most by where he develops the queen's bishop: any of b2, d2, e3, f4 and g 5 may be appropriate. The next three chapters deal with Black systems where he begins his play by regrouping the knight from f5 to g6, sometimes in conjunction with an early ...h6, sometimes with ... 置xd8, sometimes ...思f5. In this chapter I deal with White reactions which do not include the move h3 at an early stage. Usually with these systems White's idea is to open the game before Black is ready with his baroque manoeuvrings.

## Gamel

Shirov - Z. Almasi

Tilburg 1996
 Qxe4 5.d4


'A move which conforms to no recognized chess principle', according to Nigel Short, but is nonetheless the most popular way of handling the opening today. The knight was not in fact so well placed on $f 5$. By obstructing the bishop it hampers Black in the fight against g 4 , and it cannot be made stable there by ...h5 for various reasons, perhaps most of all that this would allow White to establish a knight easily on g5, supporting the e6 break and making it impossible to obtain stability for a bishop on e6. Meanwhile on g 6 the knight is surprisingly useful in the fight against White's majority by attacking e5 it makes it hard for White to move his f 3 -knight and gear up for $\mathrm{f} 4-\mathrm{ff}$. It isn't particularly convenient to defend e5: to
put the bishop on ff and then g 3 has obvious drawbacks，and to play either rook to el allows ．．．思b4，threatening to unload the bishop， while the choice of rook is also tricky if White uses the queen＇s rook then he has to watch out for ．．．宽c4，and if he uses the king＇s rook then he has to find another way to cover $f 4$ ．By retreating the knight at once Black gains space to deploy his c8－bishop actively and postpones the choice of a home for his king until he sees a little more of White＇s hand．Two other merits of the knight on g 6 are that it helps towards establishing security for the bishop on e6 by controlling f4 against the manoeuvre 2 e－f4， and it may also enable the further trip ．．． 9 f4－ e6，where the knight is very well placed as long as it can sustain itself against an f 4 －f5 push．It is not so easy to get directly to e6，since to do so Black either was somehow to control d 4 or else to move the g－pawn，which he usually doesn＇t want to do at an early stage of the game，for fear of 0 e4－f6 if for no other reason．

Usually Black＇s ideal plan is ．．．${ }^{\text {Cl}} \mathrm{e} 7-\mathrm{g} 6, \ldots \mathrm{~h} 6$ ， ．．．c5，covering all the approaches for White＇s knights to e6，and then ．．．思e6，establishing the bishop on its ideal square．

## 10．${ }^{4}$ d4

White＇s normal set－ups begin with h3 and we shall look at those in the next chapter．The text however is one of White＇s oldest tries，and was recommended in Khalifman＇s Opening for White According to Anand，Volume 1，and for that reason I cover it more extensively than perhaps it deserves．White keeps his rook on f 1 to lend support to the f－pawn，and prepares for $\mathrm{f} 4-\mathrm{f} 5$ at once．Black has two ways of dealing with the threat： $10 \ldots \mathrm{~g} 6$ and $10 \ldots \mathrm{c} 5$ ．

## 10．．． 9 g 6

This is not well regarded these days，although it＇s not clear that there is anything wrong with it except the fact that $10 \ldots c 5$ seems to be stronger．

### 11.44

White could play other moves but this is his idea．Shirov wrote that the position after 11．思g $5 \dagger$ 冨e8 12．品ad1 思d7＇didn＇t appeal to him＇，although in fact this is the position reached in Game 9 （Volokitin－Vallejo Pons）． Black could also consider 11．．．思e7．

## 11．．．宽c5 12．寄e3 蒬b6

13． $0 \mathrm{xc} 6 \dagger$ was threatened．It looks natural to defend against the threat by creating opposite－ coloured bishops，but 12．．．思xd4 13．思xd4
 what I was hoping for＇，said Shirov，＇White＇s attack is extremely strong for just one doubled pawn＇．Black＇s trouble is that he cannot prevent the advance of the f－pawn because 16．．．罗e8？ 17．量d1 宽e4 18．f5 気xe5 19．思xe5 is check，so the best defensive try seems to be $16 \ldots$ ．．．${ }^{(1)} 8$ 17．f5 气e7 18．品d1 崽e4，but after 19．品e1 White＇s initiative continues．

## 13． m （2） 4

After the game Almasi preferred 13．．． e 7， and Shirov agreed，giving $14 . e 6$ c5（14．．．fxe6？ 15．包xe6 $\dagger$ 思xe6 16．悤xb6 悤c4 17．思xc7†！）

 （20．9）3a4 might be a last try to maintain an edge）．Khalifman disagreed with this and proposed 16．思xc5 宽xb3 17．芯xb6 axb6 18．axb3 with a position which is deceptively difficult for Black，but the simple 16 ．．．選 8 seems to solve all his problems．13．．．䣽e8 is another possibility which Shirov does not mention，but at least prevents the tactic employed in the game．It isn＇t clear to me how White would seek to refute that move．

## $14 . e 6$

White has to move quickly before Black is ready for ．．．思xd4，and the alternative Shirov gave was 14．0e4 ©f5 15．©xf5 宽xf5 16．©g3思xc2（Can White win the rook ending which
arises after 16．．．g6 17．⿹xf5 gxf5？I would rate Black＇s chances of holding as quite high．） 17．罥f2，although after 17．．．息a5 18．岂c1 （18．惫d2 悤b6＝）18．．．思a4 I don＇t think Black will have too much difficulty in equalising．


## 14．．．fxe6？

Essentially losing：14．．．思xd4 15．思xd4 ©f5 $16 . \mathrm{e}^{2} \dagger$ 喜e8 17．思e5 isn＇t much better．

But $14 \ldots . . c 5$ is more controversial：Shirov gave it as equal based on 15.9 b 3 （ $15 . \mathrm{e} 7 \dagger$ 䱚xe7 16． 0 b 3 㯖d6！is equal，for example 17． x xc 5

 19．骂d $1 \dagger$ 高c6（Khalifman disagreed but for some reason gave only the weaker $19 . .$. ind $^{\text {d }}$ d
 when Black is more or less out of danger．

## 



 consolidating his material．

## 17．${ }^{3}$ xe6

Black has nothing better than exchanging rooks along the e－file and transposing to the knight ending，which as we have seen is usually losing．

 23．g4 4624 ．


 1－0

Conclusion：there are some unanswered questions here but unless the Black reply to 10． d 4 covered in the next game is shaken， this line is likely to remain unimportant．

## Game2

Shirov－Sargissian
Gibraltar Masters 2005





This move，first played by the Dutch GM Harmen Jonkman，is more or less a refutation of 10.5 d 4 ．White can either reply as in this Game 11．0 de2，pursuing the original idea of freeing the f－pawn，or 11.0 f 3 as in Game 3， claiming that Black＇s ．．．c5 was such a weakness

